

INTRODUCTION

This is the month eight finance report; it presents the financial results for the period to 31st November 2012.

KEY POINTS TO NOTE:

- 1) The PCT plan assumes delivering a £3.9m surplus for the year and the month 8 position continues to report delivery of this target;
- 2) Acute Contracts are projected to over spend by £4.0m including utilising all the acute growth reserves of £3.8m
- 3) The forecast Acute and Non Acute budget overspends are currently offset by contingency reserves, however there is limited additional reserves available to contain further cost pressures.

FINANCIAL OUTLOOK 2012/13

NHS Kingston's financial plan is a recurrent surplus of £3.959m which is 1.5% of recurrent revenue resource. The organisation is required to maintain a surplus of 1% by the operating framework to enable flexibility to manage risk and volatility. The additional surplus requirement is to deliver the South West London cluster control total of 1.4% to support NHS London's 1% surplus.

The financial performance shows, as detailed in Appendix 1a, the year to date position reflects an under spend of £2,655k in line with the target surplus. It assumes full utilisation of reserves and contingencies, achievement of NHS Kingston's financial and investment plans and delivery of the QIPP (Quality, Innovation, Productivity & Prevention) programme.

KEY FINANCIAL PERFORMANCE INDICATORS 2012/13

The table sets out the financial performance targets and indicators against which NHS Kingston will be monitored during 2012/13.

| Financial Performance Target/ Indicator | Measure | Target | Forecast | Status | Performance Improving? |
|--|---|--------------------|------------------------|--------|------------------------|
| Statutory Break Even Duties | | | | | |
| Revenue Resource Limit (RRL) | Stay within RRL | £283,263K | £279,304k | Green | |
| Capital Resource Limit | Stay within CRL | £5,718m | £926m | Amber | |
| Cash Resource Limit | Stay with Cash Limit | £275m | £275m | Green | |
| Administration Duties | | | | | |
| Better Practice Payment Policy | Payment of valid invoices within 30 days. | 95% | Non NHS 86% NHS 94% | Amber | |
| Other Significant Financial Targets | | | | | |
| Remain within Control Total | Deliver control total | Surplus of £3,959m | Surplus of £3,959m | Green | |
| QIPP- Quality, Innovation, Productivity & Prevention Programme | Delivery of Programme Savings | £10.5m | £10.5m | Green | |
| Commissioning Running Costs | Stay within running cost envelope. | £7.416m | £7.416m | Green | |

KEY FINANCIAL RISKS (Appendix 1c)

There are a number of risks which may impact on the delivery of NHS Kingston's 2012/13 plans. NHS Kingston has contingencies, reserves and a planned surplus that should enable it to manage/mitigate many of these risks in year and within the planning period. The table in Appendix 1c sets out the key financial risks NHS Kingston is exposed to in 2012/13 and actions undertaken to manage these risks.

CONTINGENCY RESERVES & PROVISIONS

NHS Kingston's budget book contains a demographic growth reserve of £3.8m to mitigate against increased activity due to any acute over performance. At month 8, £2,571k of this reserve has been utilised to fund over performance experienced within the acute contracts. In addition NHS Kingston also holds an additional 0.5% contingency reserve of which £638k has been utilised to offset the in year acute over performance.

CHANGES TO RESOURCE & APPLICATION (Appendix 1e)

A detailed analysis of the PCT's Resource Limit is provided in Appendix 1e. It should be noted that further budget changes will arise throughout the remainder of the year, particularly as a result of changes to the PCT's Revenue Resource Limit. In month five we transferred 1% of the RRL (£2,708m) to cluster to fund non –recurrent projects. The PCT is anticipating £761k to be returned in next month's resource allocation adjustment to fund our long term conditions initiatives.

COMMISSIONED SERVICES

Acute Services (Appendix 2a and 2b)

Below is a short summary by provider of the main issues:

| Month 8 2012/13 | | Year To Date | | | Full Year Forecast Outturn | | |
|-----------------|-----------------------|-------------------|-------------------|--------------------|----------------------------|-------------------|--------------------|
| Main Trusts | | Budget | Actual | Variance | Budget | Actual | Variance |
| | | £ | £ | £ | £ | £ | £ |
| K05000 | SLA Kingston Hospital | 47,471,736 | 49,886,789 | (2,415,053) | 71,207,606 | 74,213,606 | (3,006,000) |
| K05002 | SLA St Georges H/care | 12,333,553 | 13,689,270 | (1,355,717) | 18,500,336 | 20,533,905 | (2,033,569) |
| | Total | 59,805,289 | 63,576,059 | (3,770,770) | 89,707,942 | 94,747,511 | (5,039,569) |

Kingston Hospital

There is a significant over performance at month 7 of £2.8m over a profiled plan of £41.7m, this reduces to £1.9 (M6 £1.7m) after additional KPI adjustments.

The main areas of over performance are in:

- Day-case over-performance (£0.2m) - predominantly driven by T&O, Gastro and Ophthalmology – which is 75% up on planned levels.
- Outpatient (£1.0m) – first attendances - above planned levels in a number of specialties. The three outstanding areas of over-performance are ophthalmology, GUM and obstetrics (all £0.1m over). Respiratory medicine is also over plan (£74k). Obstetrics would be mitigated to some extent by the maternity calculator.
- High cost drugs (£0.4m) - over-performing - predominately relates to the Lucentis and need continuing checks, if future over-performance risk is to be mitigated.
- A&E - over-performing. Activity levels are roughly at plan but a difference in case-mix results in a £0.3m over-performance.

St Georges

This contract is showing an over performance of £1.2m (M6 £0.9m) the three main drivers of the over-performance: emergency work, drugs & devices and critical care.

- Drugs and Devices (£0.3m)
- Critical Care (£0.2m)

An early year-end settlement has been proposed which would attempt to mitigate some of the over-performance risk within this contract; however, it is still too early at this point to ascertain what the outcome of these discussions may be.

Epsom & St Helier / EOC

The Epsom & St Helier Contract combined with EOC has remained marginally over plan (£0.1m) The majority of any over-performance is attributable to non-elective activity. This in turn is attributable to two specialties obstetrics and nephrology. Obstetric activity in particular is high. There is a challenge with the Trust in its application of the Tariff concerning 'well baby' activity which would reduce this specialties over-performance.

Royal Marsden

The contract is over plan as at M7 by £0.1m (M6 £0.1m). Trust-wide has seen activity over-performance in elective activity and some over-performance in radiotherapy. The radiotherapy costs in particular have seen significant increase in month trust-wide and this could be a result of an agreed PACE trial, however, we should not be charged for additional costs. The Trust is validating this work and we should see a credit in future SLAMs if this is indeed the case.

Work is on-going surrounding chemotherapy on-cost charges, for which £1.6m (approx. £150k NHSK) of potential reduction trust-wide is at stake. The exercise benchmarks the Marsden costs against its peers to determine whether its charging methodology is justifiable. We should have feedback within the next two months on this area.

Guys and St Thomas

The contract is over-performing by £0.4m and has moved by £0.1m in month. Critical Care accounts for a third of this over-performance, the remainder in drugs and devices and some 'one-off' elective reconstruction work which has accounted for most of the movement in M6 & M7.

Great Ormond Street

Continues to over-perform (£0.6m). There is a single patient (prior approved) that is costing approximately £25k a month that has not been incorporated into the plan and is therefore a cost pressure as the activity continues. Additionally, a single long stay patient discharged in month 5 but who has had subsequent SPELLS in M6 & M7 accounts for £255k of the over-performance.

Queen Mary's Roehampton

There is a significant over-performer at M7 - £0.3m. Trust-wide has seen the activity in its outpatient work far exceed planned levels and we are in discussion with the Trust to seek explanation for these increases and its lower conversion rate to the 'rapid diagnostic package' work it operates. The contract was at plan in M7.

The Royal National Orthopaedic

This contract saw a large adverse variance in month 4 and again in month 6.. The majority of the variance is due to critical care costs and should not continue as a trend. There is also a query on SCBU charges that need to be accounted for through the LSCG route.

Kings (£0.18m)

While being a relatively small contract shows a large adverse percentage variance = 60.4%. The contract has seen significant additional activity in both drugs & devices (+68%) and emergency work (+185%). The main area of over-performance seems to be liver & hepatobiliary work.

Non Acute Services (Appendix 2c)

Non-acute budgets are forecast to overspend by £240k at the year-end, this is primarily made up of large overspends on both Forensic and YPD where both services have seen additional clients who have joined the service this year. This position may worsen in the following months due to a large volume of retrospective claims being received before the deadline of 30 September. No provision has yet been included for retrospective continuing care claims but the PCT has received 110 claims with an initial estimated value of £1.4m

Primary Care Services (appendix 2d)

The Primary Care Budgets are forecasting an under spend of £1.473m at year end this is due to a large under spend on the GP prescribing. This is due to windfall gains in Category M drugs and Atorvastatin coming off patent in June 2012.

Corporate Services (Appendix 2e)

At month 8 the corporate budget, including estates, is reflecting an over spend of £79k, which relates to the dual rental costs of the commissioning team.

Public Health (Appendix 2f)

Public Health projects are currently showing an under spend of £30k at month 8 and are reflecting a forecast outturn under spend of £46k.

Capital Position

NHS Kingston is actively reviewing its estate to ensure that all its assets are utilised fully to ensure the delivery of local services whilst maintaining value for money. As the new development at Surbiton is expected to in March 2013, this renders both the Oakhill and Newlands sites surplus. Both sites have been approved at the cluster estates committee as surplus assets and will be placed on the surplus asset register with the aim to dispose of both assets as soon as possible.

In addition, as both Public Health and the Commissioning teams are moving into the Guildhall, this renders Hollyfield House surplus, it is envisaged that this property will be disposed of by the end of the financial year and as such this the book value of this disposal will contribute towards the capital resource limit which will result in an undershoot of approximately £4 million.

Better Practice Payment Policy

The PCT's performance against the better payment practice policy is below target, especially relating to the number of NHS invoices paid on time, this predominately relates to low value high volume NCA invoices which are processed by the ACU.

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QIPP

Kingston QIPP (Quality, Innovation, Productivity and Prevention) target for 2012/13 is £10.5m. The total value of the schemes identified to be delivered in 2012/13 is £11.3m, however these schemes have been risk rated for probable achievement and are predicted to deliver £10.5m. (See Appendix 4a) £6.46m of these schemes have been deducted from the budgets and this saving is guaranteed unless these budgets perform adversely against target.

The year to date position detailed below is based on October activity and highlights that we are in target to achieving the required year end QIPP of £10.5m. However, included within this position we have assumed that we are unable to achieve any savings in relation to reduced expenditure on High Cost Drugs at Kingston Hospital and that there are significant shortfalls in both End of Life Care and slippage in Planned Care QIPP schemes due to delayed start of alternative pathways.

GP first referrals have been increasing and currently the numbers of referrals exceed the planned savings by £101k. The need to reduce the number of referrals and bring the performance back in line with the savings target is being discussed at the CCG's December Council of Members meeting.

The unfavorable variance of £102k, relates to Continuing Care tariff adjustments, this is primarily due to delays in putting in place the project manager to support this QIPP scheme. The project manager is now in post and will be working to re-profile the savings associated with this scheme.

The forecast outturn position is mitigated however by the over performance seen against targeting Falls prevention of £27k, Consultant to Consultant referral management of £122k and GP prescribing of £378k.

| | NET SAVINGS | | | | | | |
|--|--------------|--------------|------------|------------|---------------|---------------|----------|
| | Planned | Actual | Planned | Actual | Net | | |
| | YTD | YTD | Oct-12 | Oct-12 | Savings | FOT | Variance |
| BY QIPP CATEGORY 2 | (£000s) | (£000s) | (£000s) | (£000s) | Target | (£000s) | (£000s) |
| Mental Health | 1,192 | 1,192 | 170 | 170 | 2,044 | 2,044 | (0) |
| Acute Sector | 494 | 494 | 71 | 71 | 846 | 846 | 0 |
| Primary Care Productivity | 1,020 | 1,020 | 146 | 146 | 1,748 | 1,748 | 0 |
| Consultant to Consultant Referrals | 231 | 353 | 68 | (38) | 573 | 695 | 122 |
| Community support services | 292 | 292 | 42 | 42 | 500 | 500 | 0 |
| Activity shifts | 727 | 696 | 104 | 90 | 1,246 | 1,144 | (102) |
| Planned Care | 227 | 195 | 43 | 29 | 445 | 356 | (89) |
| End of Life Care | 118 | 33 | 17 | (6) | 201 | 116 | (85) |
| Prevention | 293 | 321 | 42 | 2 | 500 | 527 | 27 |
| Decommissioning ineffective procedures | 176 | 176 | 27 | 27 | 300 | 300 | 0 |
| Referral management | 307 | 206 | 91 | 4 | 761 | 660 | (101) |
| Back office | 263 | 263 | 38 | 38 | 450 | 450 | - |
| Diagnostics | 117 | 117 | 17 | 17 | 200 | 200 | 0 |
| Medicines use | 88 | - | 13 | - | 150 | - | (150) |
| Prescribing | 313 | 313 | 45 | 45 | 536 | 914 | 378 |
| PROGRAMME TOTAL (£000s) | 5,856 | 5,669 | 933 | 636 | 10,500 | 10,500 | 1 |

RECOMMENDATION

To note the forecast financial position for 2012/13 and the assumptions contained within it.

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Borough Assistant Director of Finance
December 2012

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FINANCE REPORT - Appendix 1a
NHS Kingston Summary 2012/13 -YTD & Outlook

| Commissioning | Year To Date | | | Full Year Forecast Outturn | | |
|--|--------------------|--------------------|--------------------|----------------------------|--------------------|--------------------|
| | Budget | Actual | Variance | Budget | Actual | Variance |
| | £ | £ | £ | £ | £ | £ |
| RESOURCES | | | | | | |
| Revenue Resource Limit | 188,842,000 | 188,842,000 | 0 | 283,263,000 | 283,263,000 | 0 |
| APPLICATION OF FUNDS | | | | | | |
| Acute Commissioning | | | | | | |
| General Acute (FTs & Non FTs) | 86,487,363 | 89,956,769 | (3,469,406) | 129,731,172 | 133,118,733 | (3,387,561) |
| Specialist Acute | 5,129,146 | 5,304,585 | (175,439) | 7,693,837 | 7,956,878 | (263,041) |
| Non Contract Activity | 1,507,913 | 1,655,113 | (147,200) | 2,261,878 | 2,482,670 | (220,792) |
| GPWSI Services/PBC | 389,647 | 374,417 | 15,230 | 584,506 | 561,626 | 22,881 |
| Non SLAs/Acute Other | 1,781,160 | 1,833,805 | (52,645) | 2,671,859 | 2,847,532 | (175,673) |
| Total Acute | 95,295,229 | 99,124,689 | (3,829,460) | 142,943,252 | 146,967,438 | (4,024,186) |
| Non Acute Commissioning | | | | | | |
| Mental Health | 15,957,317 | 16,197,573 | (240,256) | 23,936,100 | 24,296,360 | (360,260) |
| Learning Disabilities | 715,611 | 683,670 | 31,941 | 1,073,440 | 1,025,505 | 47,935 |
| Hospices | 394,778 | 394,787 | (9) | 592,181 | 592,181 | 1 |
| Disabilities | 2,472,948 | 2,707,003 | (234,055) | 3,709,447 | 4,060,505 | (351,058) |
| Community Health Services | 14,521,777 | 14,133,424 | 388,353 | 21,782,681 | 21,200,136 | 582,545 |
| Other Non Acute | 9,172,190 | 8,958,481 | 213,709 | 13,758,326 | 13,437,722 | 320,605 |
| Total Non Acute | 43,234,621 | 43,074,938 | 159,683 | 64,852,175 | 64,612,407 | 239,768 |
| Primary Care | | | | | | |
| Prescribing | 13,591,468 | 12,410,906 | 1,180,562 | 20,387,238 | 19,016,359 | 1,370,879 |
| Pharmacy | 2,588,003 | 2,718,458 | (130,455) | 3,882,618 | 4,077,687 | (195,069) |
| GP Services | 15,933,515 | 16,175,869 | (242,354) | 23,920,089 | 24,263,804 | (343,715) |
| Out of Hours | 799,865 | 776,518 | 23,347 | 1,199,803 | 1,164,777 | 35,026 |
| Dentistry | 3,243,722 | 3,025,787 | 217,935 | 4,865,823 | 4,538,681 | 327,143 |
| General Ophthalmic Services | 899,237 | 941,042 | (41,805) | 1,349,293 | 1,411,563 | (62,270) |
| Other Primary Care | 432,889 | 142,533 | 290,356 | 649,348 | 307,653 | 341,695 |
| Total Primary Care | 37,488,699 | 36,191,113 | 1,297,586 | 56,254,212 | 54,780,523 | 1,473,689 |
| Total Commissioning Costs | 176,018,549 | 178,390,740 | (2,372,191) | 264,049,639 | 266,360,368 | (2,310,729) |
| Corporate | | | | | | |
| Borough | 1,833,656 | 1,912,616 | (78,960) | 2,750,737 | 2,868,924 | (118,187) |
| Cluster recharge | 1,024,473 | 1,024,456 | 17 | 1,536,729 | 1,536,684 | 45 |
| Total Corporate Costs | 2,858,129 | 2,937,072 | (78,943) | 4,287,466 | 4,405,608 | (118,142) |
| Estates Costs (Borough) | | | | | | |
| Capital Charges | 1,254,928 | 1,256,560 | (1,632) | 1,882,392 | 1,884,840 | (2,448) |
| Provider Rental Income | (533,329) | (533,333) | 4 | (800,000) | (800,000) | (1) |
| Total Estates Costs | 721,599 | 723,227 | (1,628) | 1,082,392 | 1,084,841 | (2,449) |
| Public Health | | | | | | |
| Public Health Dept | 1,172,930 | 1,070,236 | 102,694 | 1,759,510 | 1,605,354 | 154,156 |
| Public Health Projects | 1,419,631 | 1,492,151 | (72,520) | 2,129,717 | 2,238,227 | (108,510) |
| Total Public Health Costs | 2,592,561 | 2,562,387 | 30,174 | 3,889,227 | 3,843,581 | 45,647 |
| Surplus before reserves utilisation | 182,190,838 | 184,613,426 | (2,422,588) | 273,308,724 | 275,694,397 | (2,385,673) |
| Reserves | | | | | | |
| 2% Reserve | 1,805,464 | 1,805,464 | 0 | 2,708,202 | 2,708,202 | 0 |
| 0.5% Additional Contingency | 904,529 | 266,667 | 637,862 | 1,356,800 | 1,356,800 | 0 |
| Pass Through Payments | 1,286,627 | (498,099) | 1,784,726 | 1,929,959 | (455,714) | 2,385,673 |
| Total Reserves | 3,996,620 | 1,574,032 | 2,422,588 | 5,994,961 | 3,609,288 | 2,385,673 |
| Total Applications | 186,187,458 | 186,187,458 | 0 | 279,303,685 | 279,303,685 | 0 |
| In Year Deficit/(Surplus) | (2,654,542) | (2,654,542) | 0 | (3,959,315) | (3,959,315) | 0 |

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Appendix 1b

NHS Kingston Summary Budgets Delegated to CCG 2012/13

| | Full Year Forecast Outturn | | | CCG | | | Non-CCG | | |
|--|----------------------------|--------------------|--------------------|--------------------|--------------------|--------------------|-------------------|-------------------|------------------|
| | Budget | Actual | Variance | Budget | Actual | Variance | Budget | Actual | Variance |
| | £ | £ | £ | £ | £ | £ | £ | £ | £ |
| Commissioning | | | | | | | | | |
| RESOURCES | | | | | | | | | |
| Revenue Resource Limit | 283,263,000 | 283,263,000 | 0 | | | | | | |
| APPLICATION OF FUNDS | | | | | | | | | |
| Acute Commissioning | | | | | | | | | |
| General Acute (FTs & Non FTs) | 129,731,172 | 133,118,733 | (3,387,561) | 126,919,172 | 130,306,733 | (3,387,561) | 2,812,000 | 2,812,000 | 0 |
| Specialist Acute | 7,693,837 | 7,956,878 | (263,041) | 0 | 0 | 0 | 7,693,837 | 7,956,878 | (263,041) |
| Non Contract Activity | 2,261,878 | 2,482,670 | (220,792) | 2,261,878 | 2,482,670 | (220,792) | 0 | 0 | 0 |
| GPWSI Services/PBC | 584,506 | 561,626 | 22,881 | 584,506 | 561,626 | 22,881 | 0 | 0 | 0 |
| Non SLAs/Acute Other | 2,671,859 | 2,847,532 | (175,673) | 2,671,859 | 2,847,532 | (175,673) | 0 | 0 | 0 |
| Total Acute | 142,943,252 | 146,967,438 | (4,024,186) | 132,437,415 | 136,198,560 | (3,761,145) | 10,505,837 | 10,768,878 | (263,041) |
| Non Acute Commissioning | | | | | | | | | |
| Mental Health | 23,936,100 | 24,296,360 | (360,260) | 22,896,100 | 23,256,360 | (360,260) | 1,040,000 | 1,040,000 | 0 |
| Learning Disabilities | 1,073,440 | 1,025,505 | 47,935 | 1,073,440 | 1,025,505 | 47,935 | 0 | 0 | 0 |
| Hospices | 592,181 | 592,181 | 1 | 592,181 | 592,181 | 1 | 0 | 0 | 0 |
| Disabilities | 3,709,447 | 4,060,505 | (351,058) | 3,709,447 | 4,060,505 | (351,058) | 0 | 0 | 0 |
| Community Health Services | 21,782,681 | 21,200,136 | 582,545 | 18,648,681 | 18,066,136 | 582,545 | 3,134,000 | 3,134,000 | 0 |
| Other Non Acute | 13,758,326 | 13,437,722 | 320,605 | 13,581,326 | 13,260,722 | 320,605 | 177,000 | 177,000 | 0 |
| Total Non Acute | 64,852,175 | 64,612,407 | 239,768 | 60,501,175 | 60,261,407 | 239,768 | 4,351,000 | 4,351,000 | 0 |
| Primary Care | | | | | | | | | |
| Prescribing | 20,387,238 | 19,016,359 | 1,370,879 | 20,387,238 | 19,016,359 | 1,370,879 | 0 | 0 | 0 |
| Pharmacy | 3,882,618 | 4,077,687 | (195,069) | 0 | 0 | 0 | 3,882,618 | 4,077,687 | (195,069) |
| GP Services | 23,920,089 | 24,263,804 | (343,715) | 0 | 0 | 0 | 23,920,089 | 24,263,804 | (343,715) |
| Out of Hours | 1,199,803 | 1,164,777 | 35,026 | 1,199,803 | 1,164,777 | 35,026 | 0 | 0 | 0 |
| Dentistry | 4,865,823 | 4,538,681 | 327,143 | 0 | 0 | 0 | 4,865,823 | 4,538,681 | 327,143 |
| General Ophthalmic Services | 1,349,293 | 1,411,563 | (62,270) | 0 | 0 | 0 | 1,349,293 | 1,411,563 | (62,270) |
| Other Primary Care | 649,348 | 307,653 | 341,695 | 0 | 0 | 0 | 649,348 | 307,653 | 341,695 |
| Total Primary Care | 56,254,212 | 54,780,523 | 1,473,689 | 21,587,041 | 20,181,136 | 1,405,905 | 34,667,171 | 34,599,387 | 67,784 |
| Total Commissioning Costs | 264,049,639 | 266,360,368 | (2,310,729) | 214,525,631 | 216,641,103 | (2,115,472) | 49,524,008 | 49,719,265 | (195,257) |
| Corporate | | | | | | | | | |
| Borough | 2,750,737 | 2,868,924 | (118,187) | 2,720,737 | 2,838,924 | (118,187) | 30,000 | 30,000 | 0 |
| Cluster recharge | 1,536,729 | 1,536,684 | 45 | 1,536,729 | 1,536,684 | 45 | 0 | 0 | 0 |
| Total Corporate Costs | 4,287,466 | 4,405,608 | (118,142) | 4,257,466 | 4,375,608 | (118,142) | 30,000 | 30,000 | 0 |
| Estates Costs (Borough) | | | | | | | | | |
| Capital Charges | 1,882,392 | 1,884,840 | (2,448) | 1,882,392 | 1,884,840 | (2,448) | 0 | 0 | 0 |
| Provider Rental Income | (800,000) | (800,000) | (1) | (800,000) | (800,000) | (1) | 0 | 0 | 0 |
| Total Estates Costs | 1,082,392 | 1,084,841 | (2,449) | 1,082,392 | 1,084,841 | (2,449) | 0 | 0 | 0 |
| Public Health | | | | | | | | | |
| Public Health Dept | 1,759,510 | 1,605,354 | 154,156 | 182,510 | 28,354 | 154,156 | 1,577,000 | 1,577,000 | 0 |
| Public Health Projects | 2,129,717 | 2,238,227 | (108,510) | 76,717 | 185,227 | (108,510) | 2,053,000 | 2,053,000 | 0 |
| Total Public Health Costs | 3,889,227 | 3,843,581 | 45,647 | 259,227 | 213,581 | 45,647 | 3,630,000 | 3,630,000 | 0 |
| Surplus before reserves utilisation | 273,308,724 | 275,694,397 | (2,385,673) | 220,124,716 | 222,315,132 | (2,190,416) | 53,184,008 | 53,379,265 | (195,257) |
| Reserves | | | | | | | | | |
| 2% Reserve | 2,708,202 | 2,708,202 | 0 | 2,708,202 | 2,708,202 | 0 | 0 | 0 | 0 |
| 0.5% Additional Contingency | 1,356,800 | 1,356,800 | 0 | 1,356,800 | 1,356,800 | 0 | 0 | 0 | 0 |
| Pass Through Payments | 1,929,959 | (455,714) | 2,385,673 | 1,929,959 | (455,714) | 2,385,673 | 0 | 0 | 0 |
| Total Reserves | 5,994,961 | 3,609,288 | 2,385,673 | 5,994,961 | 3,609,288 | 2,385,673 | 0 | 0 | 0 |
| Total Applications | 279,303,685 | 279,303,685 | 0 | 226,119,677 | 225,924,420 | 195,257 | 53,184,008 | 53,379,265 | (195,257) |
| In Year Deficit/(Surplus) | (3,959,315) | (3,959,315) | 0 | 226,119,677 | 225,924,420 | 195,257 | 53,184,008 | 53,379,265 | (195,257) |

| No | Risk Description | Potential risk | Impact on Performance Indicator | Mitigation | Risk |
|----|--|--|--|---|--------|
| 1 | Sector, London Financial Health | There is a risk that PCTs will be required to continue to and/or increase their support to organisations in the sector and London that have poor financial health. | RRI, Control Total, Investment Programme | There may not be any mitigation against this risk. | Medium |
| 2. | Non Achievement of Efficiency, Demand Management and Shift in Care Plans | There is a risk that the levels of savings required within the budget cannot be delivered in year. Failure to deliver savings will result in over reliance on the PCTs contingency plans. | RRI, Control Total, Investment Programme | Use of reserves, provisions and contingencies. Monitoring of plans by QIPP review | Medium |
| 3. | SLA Over Performance-Commissioned Services | There is a high risk that the current SLA over performance risk will worsen. Acute activity historically increases in the last six months of the year in both elective and particularly non elective care. | RRI, Control Total, Investment Programme | A contract management plan is in place and is regularly reported to the Resources Committee. Contingency reserves are held to mitigate some of this risk, A financial action plan which identifies which spend can be suspended in year is also being developed. | Medium |
| 4. | Annual Accounts and Year end Procedures | There is a risk that the PCT will not be able to complete annual accounts within the required timescale. Risk that all accounting treatments and disclosures are accurately recorded | RRI, Control Total, | An annual accounts timetable is being complied identifying key named individuals and their responsibilities in producing all statutory requirements. A review of all cluster and borough staff is being carried out to ensure that there is an adequate staffing resource to complete all year end processes. | Medium |
| 5. | Continuing Care – Retrospective Claims | There is a risk that the PCT will receive a large volume of retrospective claims due to the DOH deadline of 30 September | RRI, Control Total, | There will be a rigorous procedures in place to ascertain the validity and appropriateness of all claims, | Medium |

NHS KINGSTON
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Appendix 1e
2012/13 Revenue Resource Limit

| | REVENUE RESOURCE LIMIT | | | CAPITAL | REVENUE |
|--|------------------------|---------------|----------------|---------------|----------------|
| | REC | NON-REC | TOTAL | RESOURCE | CASH |
| | £000 | £000 | £000 | LIMIT | LIMIT |
| 2011-12 RECURRENT AT MONTH 12 - Final | 263,969 | | 263,969 | | 263,969 |
| 2011/12 OPENING BASELINE | 263,969 | 0 | 263,969 | 0 | 263,969 |
| 2012/13 Recurrent Resource Increase | 7,857 | | 7,857 | | 7,857 |
| 2012/13 OPENING RECURRENT ALLOCATION (per Expo Book) | 271,826 | 0 | 271,826 | 10,374 | 271,826 |
| Primary Dental Services | | 5,606 | 5,606 | | 5,606 |
| General Ophthalmic Services | | 1,184 | 1,184 | | 1,184 |
| Pharmaceutical Services | | 1,906 | 1,906 | | 1,906 |
| Joint Working Between Health & Social Care | | 1,456 | 1,456 | | 1,456 |
| 2012/13 Initial Baseline | 271,826 | 10,152 | 281,978 | 10,374 | 281,978 |
| 2010/2011 Allocation for Adult Pooled Treatment Budget | | 840 | 840 | | 840 |
| Young People s Pooled Drug Treatment | | 47 | 47 | | 47 |
| DIP Funding | | 71 | 71 | | 71 |
| Cancer Drugs clawback | | (435) | (435) | | (435) |
| Central Budget Deduction: Free School Fruit Scheme | | (130) | (130) | | (130) |
| HPV Vaccine Programme | | 27 | 27 | | 27 |
| Adjustment calculated using 12/13 Plan depreciation & amortisation forecasts | | | 0 | | (1,896) |
| london wide management initiatives | | (208) | (208) | | (208) |
| London Diagnostics | | (237) | (237) | | (237) |
| 2% Resource Transfer to SHA | | (5,437) | (5,437) | | (5,437) |
| Cancer Drugs funding months for 11/12 | | 111 | 111 | | 111 |
| PMEDS: Personal Admin Costs for Non Dispensing Doctors | | 49 | 49 | | 49 |
| Surbiton Hospital Resource in twice | | | | (4,256) | |
| Clinical Excellence M1-6 for KHT | | 20 | 20 | | 20 |
| Mental Capacity Act | | 31 | 31 | | 31 |
| NCG Levy - Programme Budget for Existing Services | | (1,098) | (1,098) | | (1,098) |
| NCG Levy - New Designations | | (29) | (29) | | (29) |
| NCG Levy - Protom Beam Therapy | | (58) | (58) | | (58) |
| NCG Levy - Safe & Sustainable Paediatric Review | | (10) | (10) | | (10) |
| NCG Levy - High Cost Drugs (LSD & PNH) | | (554) | (554) | | (554) |
| NCG Levy - Newly Designated High Cost Drugs & Service 2012/13 | | (34) | (34) | | (34) |
| Local Authority Transition Costs | | 74 | 74 | | 74 |
| Cancer Drugs funding months 1 - 6 | | 153 | 153 | | 153 |
| Further adjustments for opening cash balances and depreciation | | 0 | 0 | | (13) |
| HCAS for KPCT | | 81 | 81 | | 81 |
| HCAS for KHT | | 394 | 394 | | 394 |
| Mental Health - Veterans | | 150 | 150 | | 150 |
| Capital to Revenue transfer - YHC Grants | | 810 | 810 | (810) | 810 |
| Confirmed Resource Limit | 271,826 | 4,780 | 276,606 | 5,308 | 274,697 |
| Anticipated RRL Adjustments | | | | | |
| Clinical Excellence M7-12 for KHT | | 20 | 20 | | 20 |
| PCT Recharge aka Pooled budgets | | (25) | (25) | | (25) |
| Pan London Programmes Levy | | (41) | (41) | | (41) |
| Funds for London Health Programmes and TB (75%) | | (76) | (76) | | (76) |
| Nhs Support For Social Care: Provision Of Support For Memory Services | | 25 | 25 | | 25 |
| Dental Vocational Training est same as 11/12 | | 36 | 36 | | 36 |
| Cancer Drugs funding Mths 7 - 12 | | 153 | 153 | | 153 |
| Charge Exempt Overseas Visitors adj - PCT Ropslice (CEOV & Gibraltar adjustment) | | (165) | (165) | | (165) |
| 2011-2011 70% Marginal Tariff Benefit Estimate | | (800) | (800) | | (800) |
| 2011-12 Surplus Resource c/f | | 4,050 | 4,050 | | 0 |
| £2 per head GP Commissioner Dev. Fund 11-12 and 12-13 (PCT will get back as per LB 27.06.11) | | 0 | 0 | | 0 |
| Long Term Conditions Funding | | 761 | 761 | | 761 |
| £2 per head GP Commissioner Dev. Fund 11-12 | | 0 | 0 | | 0 |
| PCT Initial (Baseline) CRL/CCL | | | 0 | 0 | 0 |
| 1% Resource Transfer to SHA (PCT will get back as per LB 27.06.11) | | 2,719 | 2,719 | | 2,719 |
| Total Resource Limit | 271,826 | 11,437 | 283,263 | 5,308 | 277,304 |
| Surplus | | | -3,959 | 283,263 | |
| Kingston PCT 2012-13 Revenue Budget | | | 279,304 | | |

NHS KINGSTON
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Appendix 2a
Acute Commissioning Summary

| | Year To Date | | | Full Year Forecast Outturn | | | |
|--|---|-------------------|-------------------|----------------------------|--------------------|--------------------|--------------------|
| | Budget | Actual | Variance | Budget | Actual | Variance | |
| | £ | £ | £ | £ | £ | £ | |
| Foundation Trusts | | | | | | | |
| K05001 | SLA Guy's & St Thomas' | 1,470,049 | 1,908,076 | (438,027) | 2,205,081 | 2,788,244 | (583,163) |
| K05003 | SLA Royal Marsden | 4,462,732 | 4,499,890 | (37,158) | 6,694,104 | 6,699,835 | (5,731) |
| K05005 | SLA Royal Brompton & H | 1,804,312 | 1,605,902 | 198,410 | 2,706,471 | 2,408,853 | 297,618 |
| K05010 | SLA Royal Surrey County | 172,312 | 135,475 | 36,837 | 258,468 | 203,212 | 55,256 |
| K05014 | SLA King's College Hosp | 341,857 | 547,743 | (205,886) | 512,792 | 796,614 | (283,822) |
| K05017 | SLA Moorfields Eye Hosp | 494,320 | 526,127 | (31,807) | 741,484 | 789,190 | (47,706) |
| K05018 | SLA Chelsea & Westminster | 541,553 | 592,389 | (50,836) | 812,337 | 888,584 | (76,247) |
| K05019 | SLA UCL Hospital | 747,384 | 830,733 | (83,349) | 1,121,084 | 1,184,026 | (62,942) |
| K05020 | SLA Ashford & St Peter's | 262,489 | 266,591 | (4,102) | 393,742 | 398,098 | (4,356) |
| | | 10,297,008 | 10,912,926 | (615,918) | 15,445,563 | 16,156,657 | (711,094) |
| Acute Trusts | | | | | | | |
| K05000 | SLA Kingston Hospital | 47,471,736 | 49,886,789 | (2,415,053) | 71,207,606 | 74,213,606 | (3,006,000) |
| K05002 | SLA St Georges H/care | 12,333,553 | 13,689,270 | (1,355,717) | 18,500,336 | 20,533,905 | (2,033,569) |
| K05004 | SLA Imperial College | 936,777 | 1,067,680 | (130,903) | 1,405,173 | 1,601,520 | (196,347) |
| K05006 | SLA Epsom & St Helier | 3,905,096 | 4,210,911 | (305,815) | 5,857,647 | 6,306,188 | (448,541) |
| K05008 | SLA SWL Elective Ortho | 2,039,232 | 1,786,210 | 243,022 | 3,013,952 | 2,649,315 | 364,637 |
| K05011 | SLA Royal Free Hospital | 159,033 | 167,770 | (8,737) | 238,555 | 251,656 | (13,101) |
| K05012 | SLA Royal National Ortho | 130,481 | 416,565 | (286,084) | 195,731 | 545,241 | (349,510) |
| K05013 | SLA West Midlxs Univ Hosp | 149,545 | 134,471 | 15,074 | 224,327 | 201,706 | 22,621 |
| K05015 | SLA Barts & The London | 268,569 | 321,588 | (53,019) | 402,858 | 427,858 | (25,000) |
| K05016 | SLA Great Ormond Street | 417,656 | 1,072,308 | (654,652) | 626,485 | 1,474,481 | (847,996) |
| K05021 | SLA North West London Hos | 140,640 | 139,654 | 986 | 210,960 | 209,481 | 1,479 |
| K05022 | SLA Contingency DrugExlns & NEL Threshold | 207,707 | 207,707 | 0 | 311,565 | 311,565 | 0 |
| K05023 | HCD Royal Marsden | 400,000 | 394,422 | 5,578 | 600,000 | 591,633 | 8,367 |
| K05024 | PBR Excluded Drugs & Devices | 200,000 | 200,000 | 0 | 300,000 | 300,000 | 0 |
| K05033 | Acute Reserves | 2,585,033 | 14,210 | 2,570,823 | 3,877,559 | 21,315 | 3,856,244 |
| K05140 | Emergency Services Agrmts - London Ambulance Serv | 2,933,529 | 2,933,529 | 0 | 4,400,300 | 4,183,459 | 216,841 |
| K05141 | Libyan civilians | 0 | 0 | 0 | 0 | 0 | 0 |
| | | 74,248,587 | 76,623,084 | (2,374,497) | 111,372,954 | 113,511,363 | (2,138,409) |
| Community PCTs | | | | | | | |
| K05007 | SLA Wandsworth PCT | 1,941,768 | 2,259,909 | (318,141) | 2,912,655 | 3,289,863 | (377,208) |
| | | 1,941,768 | 2,259,909 | (318,141) | 2,912,655 | 3,289,863 | (377,208) |
| K05030 | PY SLA Acute Contracts | 0 | 84,053 | (84,053) | 0 | 84,053 | (84,053) |
| K05063 | PYSLA Kingston Hospital | 0 | 15,732 | (15,732) | 0 | 15,732 | (15,732) |
| K05068 | PYSLA St Georges H/care | 0 | 0 | 0 | 0 | 0 | 0 |
| K05072 | PYSLA Great Ormond Street | 0 | 0 | 0 | 0 | 0 | 0 |
| K05078 | PYSLA Ashford + St Peters | 0 | 0 | 0 | 0 | 0 | 0 |
| K05080 | PYSLA Epsom + St Helier | 0 | 0 | 0 | 0 | 0 | 0 |
| K05081 | PYSLA Wandsworth PCT | 0 | 0 | 0 | 0 | 0 | 0 |
| K05086 | PYSLA Part Comp Spells | 0 | 0 | 0 | 0 | 0 | 0 |
| K05087 | PYSLA Contingency DrugExl | 0 | 61,065 | (61,065) | 0 | 61,065 | (61,065) |
| | | 0 | 160,850 | (160,850) | 0 | 160,850 | (160,850) |
| | Total Acute SLA | 86,487,363 | 89,956,769 | (3,469,406) | 129,731,172 | 133,118,733 | (3,387,561) |
| Specialist Commissioning | | | | | | | |
| K05150 | Spec Comm AIDS/HIV | 1,162,728 | 1,226,869 | (64,141) | 1,744,097 | 1,840,304 | (96,207) |
| K05151 | Spec Comm NICU | 831,928 | 861,708 | (29,780) | 1,247,902 | 1,292,562 | (44,660) |
| K05152 | Spec Comm BMT Adult | 201,817 | 201,822 | (5) | 302,733 | 302,733 | 0 |
| K05153 | Spec Comm Spinal Injuries | 143,176 | 154,911 | (11,735) | 214,767 | 232,367 | (17,600) |
| K05154 | Spec Comm PICU | 383,041 | 394,281 | (11,240) | 574,567 | 591,422 | (16,855) |
| K05155 | Spec Comm Clinic/Genetics | 115,168 | 115,169 | (1) | 172,754 | 172,754 | 0 |
| K05156 | Spec Comm NCAs specialisd | 13,688 | 5,825 | 7,863 | 20,535 | 8,738 | 11,798 |
| K05157 | Spec Comm CleftLip&Palate | 68,800 | 68,801 | (1) | 103,201 | 103,202 | (1) |
| K05158 | Spec Comm GenderDysphoria | 19,896 | 19,897 | (1) | 29,846 | 29,846 | 0 |
| K05159 | Spec Comm Haemophilia | 737,593 | 722,608 | 14,985 | 1,106,398 | 1,083,912 | 22,486 |
| K05160 | Spec Comm SpecialistPharm | 18,273 | 17,973 | 300 | 27,417 | 26,960 | 458 |
| K05162 | Spec Comm AIA Unit | 2,440 | 2,441 | (1) | 3,661 | 3,662 | (1) |
| K05163 | Spec Comm SCG Centr Budg | 68,993 | 68,999 | (6) | 103,498 | 103,498 | 0 |
| K05166 | Spec Comm Burns | 77,177 | 77,181 | (4) | 115,771 | 115,772 | (1) |
| K05166 | Spec Comm CAYPOC-GOSH | 7,416 | 7,699 | (283) | 11,128 | 11,549 | (421) |
| K05167 | Spec Comm Neuro-Rehab | 224,784 | 224,787 | (3) | 337,181 | 337,181 | 0 |
| K05168 | Spec Comm LondonWide Proj | 0 | 0 | 0 | 0 | 0 | 0 |
| K05169 | Spec Comm Major Trauma | 133,416 | 133,417 | (1) | 200,125 | 200,126 | (1) |
| K05170 | Spec Comm BowelCancerScm | 65,209 | 65,215 | (6) | 97,822 | 97,823 | (1) |
| K05171 | Spec Comm Antenatal&Nwbrn | 25,025 | 25,031 | (6) | 37,546 | 37,547 | (1) |
| K05172 | Spec Comm Paediatric BMT | 147,120 | 147,121 | (1) | 220,681 | 220,682 | (1) |
| K05173 | Spec Comm Practitioner Health Programme | 12,721 | 12,724 | (3) | 19,086 | 19,086 | 0 |
| K05174 | Spec Comm DSPD | 23,728 | 23,730 | (2) | 35,595 | 35,595 | 0 |
| K05175 | Spec Comm Havens | 28,057 | 28,063 | (6) | 42,095 | 42,095 | 0 |
| K05176 | Spec Comm SCBU | 586,536 | 675,142 | (88,606) | 879,805 | 1,012,713 | (132,908) |
| K05177 | Adult Renal Dialysis and TRX | 30,416 | 23,171 | 7,245 | 45,626 | 34,757 | 10,870 |
| | Total Acute Specialist Commissioning | 5,129,146 | 5,304,585 | (175,439) | 7,693,837 | 7,956,878 | (263,041) |
| Non SLA/Acute & Other Items | | | | | | | |
| K05205 | Private Providers | 390,103 | 373,072 | 17,031 | 585,191 | 585,191 | 0 |
| K05215 | Cancer Services | 98,824 | 98,824 | 0 | 148,237 | 148,237 | 0 |
| K05226 | HCA International | 53,332 | 0 | 53,332 | 80,000 | 0 | 80,000 |
| K05228 | MSK/Rheumatology | 134,363 | 134,364 | (1) | 201,546 | 201,546 | 0 |
| K05230 | Diabetic Retinopathy Serv | 164,321 | 123,129 | 41,192 | 246,490 | 184,694 | 61,797 |
| K05233 | Diabetes Tier 3 | 73,330 | 73,330 | 0 | 110,000 | 109,995 | 5 |
| K05250 | Modernisation Acute | 203,436 | 416,832 | (213,396) | 305,160 | 625,248 | (320,088) |
| K05251 | Modernisation Other | 146,349 | 98,889 | 47,460 | 219,574 | 219,574 | 0 |
| K05291 | Environmental Control | 9,769 | 8,032 | 1,737 | 14,661 | 12,048 | 2,613 |
| K05231 | Long Term Conditions | 507,333 | 507,333 | 0 | 761,000 | 761,000 | 0 |
| | Total Non SLA/Acute Other | 1,781,160 | 1,833,805 | (52,645) | 2,671,859 | 2,847,532 | (175,673) |
| Non Contractual Activity | | | | | | | |
| K05199 | Non Contract Activity - Mental Health | 210,593 | 210,593 | 0 | 315,897 | 315,890 | 8 |
| K05200 | Non Contract Activity | 1,297,320 | 1,444,520 | (147,200) | 1,945,981 | 2,166,780 | (220,799) |
| K05201 | PY Non contract Activity | 0 | 0 | 0 | 0 | 0 | 0 |
| | Total NCA | 1,507,913 | 1,655,113 | (147,200) | 2,261,878 | 2,482,670 | (220,792) |
| GPWSI/PBC Services | | | | | | | |
| K05220 | Dermatology | 74,659 | 74,662 | (3) | 112,000 | 111,993 | 7 |
| K05221 | Neurology | 51,994 | 20,929 | 31,065 | 78,000 | 31,394 | 46,607 |
| K05222 | Foot & Ankle | 76,661 | 76,667 | (6) | 115,000 | 115,001 | (1) |
| K05223 | Vasectomy | 14,808 | 16,269 | (1,461) | 22,216 | 24,404 | (2,188) |
| K05224 | Ophthalmology Services | 143,085 | 143,087 | (2) | 214,630 | 214,631 | (1) |
| K05229 | Urology | 28,440 | 42,803 | (14,363) | 42,660 | 64,205 | (21,545) |
| | Total GPWSI/PBC | 389,647 | 374,417 | 15,230 | 584,506 | 561,626 | 22,881 |

Appendix 2b

NHS KINGSTON
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Analysis of variance by POD

NHS Kingston
 SLA Variance
 Period: Month 7 August - October 2012/13

| Trust | GST | RBH | RMH | KHT | SGH | EAC & ERE | ESW | GOS | Sub Total | Other Trusts | Total |
|--|----------------|-----------------|----------------|------------------|------------------|----------------|-----------------|----------------|------------------|------------------|------------------|
| Budget - Annual | 2,204,828 | 2,706,902 | 6,694,104 | 71,207,606 | 18,500,904 | 5,857,646 | 3,013,661 | 626,485 | 110,812,137 | 9,435,070 | 120,247,207 |
| Forecast - Actual | 2,788,244 | 2,408,853 | 6,699,835 | 74,213,606 | 20,533,905 | 6,305,188 | 2,649,315 | 1,474,481 | 117,074,427 | 10,787,049 | 127,861,476 |
| FYO Total Summary | 583,416 | -288,049 | 5,731 | 3,006,000 | 2,033,000 | 448,541 | -364,347 | 847,996 | 6,262,290 | 1,351,979 | 7,614,269 |
| Budget - YTD | 1,286,150 | 1,579,026 | 3,822,915 | 41,687,827 | 10,792,194 | 3,422,898 | 1,757,969 | 368,605 | 64,717,585 | 5,436,222 | 70,153,807 |
| Actual - YTD | 1,689,566 | 1,405,164 | 3,937,404 | 44,457,282 | 12,014,538 | 3,730,411 | 1,545,434 | 938,269 | 69,998,087 | 6,483,455 | 76,481,522 |
| SLAMM Month Total Summary | 383,416 | -173,862 | 114,488 | 2,769,455 | 1,222,343 | 307,513 | -212,536 | 569,665 | 4,980,482 | 1,047,233 | 6,027,715 |
| Payment by Results - Variance | | | | | | | | | | | |
| AE | 1,498 | 0 | 0 | 479,719 | 22,130 | 20,293 | 0 | 0 | 523,640 | 20,863 | 544,502 |
| EL (incs XBD) | 42,444 | -135,325 | 103,204 | 429,595 | 20,005 | 52,354 | -222,562 | 191,715 | 481,430 | 90,321 | 571,752 |
| NELEMER (incs XBD) | 78,859 | -79,890 | 0 | 2,461,495 | 597,147 | 59,875 | 0 | 48,691 | 3,166,178 | 3,591 | 3,169,768 |
| NEL (incs XBD) | -65,340 | -64,350 | -1,903 | 0 | 48,787 | 159,901 | 0 | 108,083 | 181,179 | 30,053 | 211,232 |
| OPFA | 5,683 | -1,093 | -2,795 | -26,626 | -70,842 | -38,366 | 2,847 | 1,285 | -129,907 | 62,994 | -66,913 |
| OPFUP | -5,747 | 3,550 | 51,955 | 464,346 | 126,062 | 32,340 | -4,397 | 2,932 | 671,040 | 40,903 | 711,943 |
| OPPROC | 4,966 | -7,264 | 25,075 | -76,798 | -5,237 | 7,843 | 0 | 0 | -51,415 | 64,100 | 12,686 |
| OTHER | 0 | 0 | 0 | 0 | 0 | 60,705 | 0 | 0 | 60,705 | -27,487 | 33,218 |
| Sub Total - Pbr | 62,362 | -284,373 | 175,537 | 3,731,730 | 736,052 | 354,946 | -224,112 | 350,707 | 4,902,850 | 285,339 | 5,188,188 |
| Non - Payment by Results - Variance | | | | | | | | | | | |
| EL | 73,960 | -96 | -14,796 | -242,187 | 15,632 | 3,521 | -163 | -12,116 | -176,245 | 255,147 | 78,902 |
| PTS | 639 | 0 | 0 | 0 | 72,010 | 0 | 131 | 0 | 72,780 | 4,441 | 77,222 |
| NEL | -6,427 | 2,783 | 0 | -2,223,561 | -2,351 | -2,751 | 0 | 48,683 | -2,185,623 | 27,385 | -2,158,239 |
| OP | 84,876 | 12,690 | 3,312 | 683,170 | 51,329 | 26,582 | -7,226 | -1,277 | 853,456 | 58,105 | 911,561 |
| CC | 100,164 | -16,108 | -15,181 | 242,018 | 183,616 | -56,764 | 24,017 | 65,771 | 527,533 | 133,070 | 660,604 |
| Drugs & Devices | 58,491 | 119,495 | -52,131 | 439,723 | 242,502 | -1,139 | 0 | 106,002 | 912,942 | 255,350 | 1,168,292 |
| Direct Access | 0 | -4,012 | 14,955 | 111,522 | -106,261 | -24,382 | 0 | 0 | -8,179 | 2,853 | -5,325 |
| OTHER | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| COJIN | 9,352 | -4,241 | 2,792 | 27,040 | 29,813 | 7,500 | -5,184 | 13,894 | 80,967 | 25,542 | 106,510 |
| Sub Total - Non Pbr | 321,054 | 110,511 | -61,049 | -962,275 | 486,291 | -47,433 | 11,576 | 218,958 | 77,633 | 761,894 | 839,527 |
| Grand Total Summary | 383,416 | -173,862 | 114,488 | 2,769,455 | 1,222,343 | 307,513 | -212,536 | 569,665 | 4,980,482 | 1,047,233 | 6,027,715 |
| Contract Challenges | | | | | | | | | | | |
| Automated Challenges | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Other Challenges | 0 | 0 | 0 | -556,418 | -28,630 | 0 | 0 | 0 | -585,048 | -26 | -585,074 |
| KPIs | 0 | 0 | 0 | -249,923 | -7,797 | -45,863 | 0 | 0 | -303,583 | -2,571 | -306,154 |
| Sub Total Challenges | 0 | 0 | 0 | -806,341 | -36,427 | -45,863 | 0 | 0 | -888,631 | -2,597 | -891,228 |
| Net Performance After Challenge | 383,416 | -173,862 | 114,488 | 1,963,113 | 1,185,917 | 261,649 | -212,536 | 569,665 | 4,091,851 | 1,044,636 | 5,136,487 |

Appendix 2c

NHS KINGSTON
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Mental Health & Non Acute Commissioning Summary

| | Year To Date | | | Full Year Forecast Outturn | | | |
|---|------------------------------|-------------------|-------------------|----------------------------|-------------------|-------------------|------------------|
| | Budget | Actual | Variance | Budget | Actual | Variance | |
| | £ | £ | £ | £ | £ | £ | |
| <u>Mental Health - Contracts</u> | | | | | | | |
| K05260 | Service Agreement MH | 11,750,892 | 11,751,198 | (306) | 17,626,373 | 17,626,797 | (424) |
| K05261 | MH Specialist Comm | 1,106,747 | 1,085,950 | 20,797 | 1,660,157 | 1,628,925 | 31,232 |
| K05262 | MH Secure Facilities | 523,489 | 523,499 | (10) | 785,246 | 785,249 | (3) |
| | | 13,381,128 | 13,360,647 | 20,481 | 20,071,776 | 20,040,971 | 30,806 |
| <u>Mental Health - Placements</u> | | | | | | | |
| K05263 | MH Long Stay Placements | 781,929 | 750,160 | 31,769 | 1,172,900 | 1,125,240 | 47,660 |
| K05264 | Section 256/257 MH | 231,043 | 254,661 | (23,618) | 346,593 | 381,992 | (35,399) |
| K05265 | CAMHS | 0 | 0 | 0 | 0 | 0 | 0 |
| K05266 | Forensic MH | 1,382,257 | 1,651,145 | (268,888) | 2,073,391 | 2,476,718 | (403,327) |
| K05267 | South Bank Reprovision | 180,960 | 180,960 | 0 | 271,440 | 271,440 | 0 |
| | | 2,576,189 | 2,836,926 | (260,737) | 3,864,324 | 4,255,389 | (391,065) |
| Total Mental Health Non Acute | | 15,957,317 | 16,197,573 | (240,256) | 23,936,100 | 24,296,360 | (360,260) |
| <u>Learning Difficulties</u> | | | | | | | |
| K05270 | Service Agreements PLD | 161,209 | 129,768 | 31,441 | 241,822 | 194,652 | 47,170 |
| K05271 | Long Stay PLD | 552,929 | 552,929 | 0 | 829,403 | 829,394 | 10 |
| K05272 | Section 28a PLD | 1,473 | 1,473 | 0 | 2,215 | 2,210 | 6 |
| K05273 | LD Big Health Check | 0 | (500) | 500 | 0 | -750 | 750 |
| | | 715,611 | 683,670 | 31,941 | 1,073,440 | 1,025,505 | 47,935 |
| Total Learning Disabilities | | 715,611 | 683,670 | 31,941 | 1,073,440 | 1,025,505 | 47,935 |
| <u>Service Agreement Disability</u> | | | | | | | |
| K05225 | Community Neuro-rehab | 56,781 | 56,783 | (2) | 85,174 | 85,175 | (1) |
| K05282 | YPD | 1,143,921 | 1,347,365 | (203,444) | 1,715,891 | 2,021,048 | (305,157) |
| | | 1,200,702 | 1,404,148 | (203,446) | 1,801,065 | 2,106,222 | (305,157) |
| <u>Children's Disabilities</u> | | | | | | | |
| K05026 | SLA Disabled Chldn RBK | 740,853 | 740,855 | (2) | 1,111,283 | 1,111,283 | 1 |
| K05280 | Service Agreement Disability | 0 | 0 | 0 | 0 | 0 | 0 |
| K05281 | ChildrenWithDisabilities | 283,457 | 258,468 | 24,989 | 425,193 | 387,702 | 37,491 |
| K05283 | BUPA Dom Chldm's Care | 247,936 | 303,532 | (55,596) | 371,906 | 455,298 | (83,392) |
| | | 1,272,246 | 1,302,855 | (30,609) | 1,908,382 | 1,954,283 | (45,901) |
| Total Disabilities | | 2,472,948 | 2,707,003 | (234,055) | 3,709,447 | 4,060,505 | (351,058) |
| <u>Provider Services/Your Healthcare</u> | | | | | | | |
| K05300 | Community Health Services | 14,443,545 | 14,133,424 | 310,121 | 21,665,329 | 21,200,136 | 465,193 |
| K07025 | Security services | 78,232 | 0 | 78,232 | 117,352 | 0 | 117,352 |
| Total Community Healthcare | | 14,521,777 | 14,133,424 | 388,353 | 21,782,681 | 21,200,136 | 582,545 |
| <u>Drug Rehabilitation</u> | | | | | | | |
| K05293 | Drug Rehabilitation | 793,929 | 706,328 | 87,601 | 1,190,900 | 1,059,492 | 131,408 |
| | | 793,929 | 706,328 | 87,601 | 1,190,900 | 1,059,492 | 131,408 |
| <u>Continuing Care</u> | | | | | | | |
| K05310 | Continuing Care | 5,168,264 | 4,868,187 | 300,077 | 7,752,400 | 7,302,281 | 450,120 |
| K05311 | Continuing Care Hobkirke | 237,952 | 192,250 | 45,702 | 356,930 | 288,375 | 68,555 |
| | | 5,406,216 | 5,060,437 | 345,779 | 8,109,330 | 7,590,656 | 518,675 |
| <u>Funded Nursing Care</u> | | | | | | | |
| K05305 | Funded Nursing Care | 1,025,945 | 1,202,931 | (176,986) | 1,538,926 | 1,804,397 | (265,471) |
| | | 1,025,945 | 1,202,931 | (176,986) | 1,538,926 | 1,804,397 | (265,471) |
| <u>Other Non-Acute Budgets</u> | | | | | | | |
| K05290 | Partnerships | 111,896 | 92,713 | 19,183 | 167,849 | 139,070 | 28,780 |
| K05292 | Specialist Drugs | 240,209 | 240,209 | 0 | 360,321 | 360,314 | 8 |
| K05315 | Social Care Comm | 1,593,995 | 1,593,995 | 0 | 2,391,000 | 2,390,993 | 8 |
| K05216 | Interpreting Services | 0 | 61,868 | (61,868) | 0 | 92,802 | (92,802) |
| | | 1,946,100 | 1,988,785 | (42,685) | 2,919,170 | 2,983,178 | (64,008) |
| Total Other Non Acute | | 9,172,190 | 8,958,481 | 213,709 | 13,758,326 | 13,437,722 | 320,605 |
| <u>Hospices</u> | | | | | | | |
| K05210 | Hospices | 394,778 | 394,787 | (9) | 592,181 | 592,181 | 1 |
| Total Hospices | | 394,778 | 394,787 | (9) | 592,181 | 592,181 | 1 |

Appendix 2d

NHS KINGSTON
Finance Report – November 2012

Primary Care

| | Year To Date | | | Full Year Forecast Outturn | | | |
|---------------------------------------|------------------------------|-------------------|-------------------|----------------------------|-------------------|-------------------|------------------|
| | Budget £ | Actual £ | Variance £ | Budget £ | Actual £ | Variance £ | |
| Prescribing and Pharmacy | | | | | | | |
| K06231 | GP Prescribing | 13,333,250 | 12,152,686 | 1,180,564 | 19,999,898 | 18,629,029 | 1,370,869 |
| K06232 | Prescribing -Other | 258,218 | 258,220 | (2) | 387,340 | 387,330 | 10 |
| Total Prescribing | | 13,591,468 | 12,410,906 | 1,180,562 | 20,387,238 | 19,016,359 | 1,370,879 |
| Pharmacies | | 2,543,947 | 2,630,579 | (86,632) | 3,816,528 | 3,945,869 | (129,341) |
| K06363 | Other Pharmacy Costs | 0 | 87,879 | (87,879) | 0 | 131,819 | (131,819) |
| K06364 | Reserves | 44,056 | 44,056 | 0 | 66,090 | 66,090 | 0 |
| Total Pharmacy | | 2,588,003 | 2,718,458 | (130,455) | 3,882,618 | 4,077,687 | (195,069) |
| Total Prescribing and Pharmacy | | 16,179,471 | 15,129,364 | 1,050,107 | 24,269,856 | 23,094,046 | 1,175,810 |
| GMS/PMS Expenditure | | | | | | | |
| K06051-K06062 | PMS Practices | 6,910,826 | 7,053,401 | (142,575) | 10,366,608 | 10,580,102 | (213,494) |
| K06063 | PMS - Other | 0 | 46,879 | (46,879) | 0 | 70,319 | (70,319) |
| K06064 | PMS Reserves | 0 | 0 | 0 | 0 | 0 | 0 |
| Total PMS Practices | | 6,910,826 | 7,100,280 | (189,454) | 10,366,608 | 10,650,420 | (283,812) |
| Total GMS Practices | | 6,173,811 | 6,206,881 | (33,070) | 9,279,971 | 9,310,322 | (30,351) |
| K06018 | GMS-PMS Enhanced Other | 16,721 | 18,550 | (1,829) | 25,096 | 27,825 | (2,729) |
| K06019 | GMS Reserves | 0 | 250 | (250) | 0 | 375 | (375) |
| K06020 | APMS -AT Medics Choudhry | 239,481 | 245,454 | (5,973) | 359,240 | 368,181 | (8,941) |
| K06021 | APMS -Gosbury Hill GP Clinic | 738,808 | 750,588 | (11,780) | 1,108,219 | 1,125,882 | (17,663) |
| Total Practice Expenditure | | 14,079,647 | 14,322,003 | (242,356) | 21,139,134 | 21,483,005 | (343,871) |
| K06071 | Pnem Vacc Ch Imm-Main | 0 | 0 | 0 | 0 | 0 | 0 |
| K06072 | Improved Access Schm | 240,000 | 240,786 | (786) | 360,000 | 361,179 | (1,179) |
| K06073 | Quality Info Prep Schm | 0 | 0 | 0 | 0 | 0 | 0 |
| K06074 | Flu Seasonal | 0 | 0 | 0 | 0 | 0 | 0 |
| K06075 | Child Vacc&Imm-Catch Up | 0 | 0 | 0 | 0 | 0 | 0 |
| K06076 | Child Vacc&Imm-2YO&LowTarg | 73,328 | 74,195 | (867) | 110,000 | 111,293 | (1,293) |
| K06077 | Influenza Imm Over 65 Yrs | 0 | 0 | 0 | 0 | 0 | 0 |
| K06078 | Flu [H1N1] | 0 | 0 | 0 | 0 | 0 | 0 |
| K06079 | Influenza&pneumococcal im | 160,000 | 160,000 | 0 | 240,000 | 240,000 | 0 |
| K06080 | Services for violent pnts | 12,000 | 14,000 | (2,000) | 18,000 | 21,000 | (3,000) |
| K06081 | Minor Surgery | 166,658 | 155,448 | 11,210 | 250,000 | 233,172 | 16,828 |
| K06082 | Practice Based Comm | 250,114 | 250,114 | 0 | 375,189 | 375,171 | 18 |
| K06083 | IM&T Adoption | 0 | 0 | 0 | 0 | 0 | 0 |
| K06084 | Access to GP Svcs | 0 | 0 | 0 | 0 | 0 | 0 |
| K06085 | Extended Hours Access | 0 | 0 | 0 | 0 | 0 | 0 |
| K06086 | Alcohol Management | 26,658 | 20,202 | 6,456 | 40,000 | 30,303 | 9,697 |
| K06087 | PLD | 10,000 | 10,000 | 0 | 15,000 | 15,000 | 0 |
| K06088 | HPV | 0 | 0 | 0 | 0 | 0 | 0 |
| K06089 | Ethnicity Monitoring | 0 | 0 | 0 | 0 | 0 | 0 |
| K06090 | Heart Failure | 16,800 | 18,621 | (1,821) | 25,211 | 27,932 | (2,721) |
| K06091 | IUD Fittings | 0 | 0 | 0 | 0 | 0 | 0 |
| K06092 | Annual Development Plan | 64,432 | 64,012 | 420 | 96,659 | 96,018 | 641 |
| K06093 | ABPM Cardiovascular | 23,328 | 26,027 | (2,699) | 35,000 | 39,041 | (4,041) |
| K06094 | BCG Clinic | 0 | 0 | 0 | 0 | 0 | 0 |
| K06095 | Care Homes | 0 | 0 | 0 | 0 | 0 | 0 |
| K06096 | Chlamydia (Sexual Health) | 0 | 0 | 0 | 0 | 0 | 0 |
| K06097 | LARC | 120,000 | 82,491 | 37,509 | 180,000 | 123,737 | 56,264 |
| K06098 | Choice and Book Reward | 23,328 | 23,328 | 0 | 35,000 | 34,992 | 8 |
| K06099 | COPD Achievements | 0 | 0 | 0 | 0 | 0 | 0 |
| K06100 | COPD Retainer | 160,000 | 160,000 | 0 | 240,000 | 240,000 | 0 |
| K06101 | Diabetes | 266,658 | 175,773 | 90,885 | 400,000 | 263,660 | 136,341 |
| K06102 | Patient Education | 3,328 | 3,883 | (555) | 5,000 | 5,825 | (825) |
| K06103 | GP Education | 0 | 0 | 0 | 0 | 0 | 0 |
| K06104 | Ring Pessaries | 20,000 | 2,880 | 17,120 | 30,000 | 4,320 | 25,680 |
| K06105 | MWR Catch Up | 0 | 0 | 0 | 0 | 0 | 0 |
| K06106 | Non English Speaking | 0 | 0 | 0 | 0 | 0 | 0 |
| K06107 | Nursing & Residentl Homes | 0 | 0 | 0 | 0 | 0 | 0 |
| K06108 | End Of Life | 33,328 | 33,328 | 0 | 50,000 | 49,992 | 8 |
| K06109 | TB Management | 6,738 | 6,738 | 0 | 10,120 | 10,107 | 13 |
| K06110 | Parent Craft | 0 | 8,095 | (8,095) | 0 | 12,143 | (12,143) |
| K06111 | RIC Data Alignment | 0 | 0 | 0 | 0 | 0 | 0 |
| K06112 | Patient Education | 143,328 | 143,328 | 0 | 215,000 | 214,992 | 8 |
| K06113 | Weight Management | 23,184 | 7,510 | 15,674 | 34,776 | 11,265 | 23,511 |
| K06114 | Family Planning | 0 | 0 | 0 | 0 | 0 | 0 |
| K06115 | Leap Minor Surgery | 0 | 0 | 0 | 0 | 0 | 0 |
| K06116 | Referred Minor Surgery | 0 | 0 | 0 | 0 | 0 | 0 |
| K06117 | Osteoporosis | 0 | 0 | 0 | 0 | 0 | 0 |
| K06118 | Substance Misuse | 10,658 | 3,164 | 7,494 | 16,000 | 4,746 | 11,254 |
| K06119 | ABPM Cardiovascular | 0 | 0 | 0 | 0 | 0 | 0 |
| K06120 | Vascular Checks | 0 | 0 | 0 | 0 | 0 | 0 |
| K06121 | Enhanced Servs Training | 0 | 169,943 | (169,943) | 0 | 254,915 | (254,915) |
| K06123 | Oral Contraception NonReg | 0 | 0 | 0 | 0 | 0 | 0 |
| Total Enhanced Services | | 1,853,868 | 1,853,866 | 2 | 2,780,955 | 2,780,799 | 156 |
| Total GMS/PMS Expenditure | | 15,933,515 | 16,175,869 | (242,354) | 23,920,089 | 24,263,804 | (343,715) |
| Out of Hours | | | | | | | |
| K06175 | Out Of Hours | 799,865 | 776,518 | 23,347 | 1,199,803 | 1,164,777 | 35,026 |
| | Out of Hours | 799,865 | 776,518 | 23,347 | 1,199,803 | 1,164,777 | 35,026 |
| K06181-K06210 | Dental Practices | 3,100,658 | 2,743,808 | 356,850 | 4,651,223 | 4,115,712 | 535,511 |
| K06211 | Dental Improvement Grant | 0 | 0 | 0 | 0 | 0 | 0 |
| K06212 | Dental Others Services | 23,064 | 25,782 | (2,718) | 34,600 | 38,673 | (4,073) |
| K06213 | Dental Reserves | (81,976) | 33,327 | (115,303) | (122,964) | 49,991 | (172,955) |
| K06214 | Community Dental Service | 201,976 | 222,870 | (20,894) | 302,964 | 334,305 | (31,341) |
| | Dentistry | 3,243,722 | 3,025,787 | 217,935 | 4,865,823 | 4,538,681 | 327,143 |
| Ophthalmic Services | | | | | | | |
| K06391-K06434 | Opticians practices | 899,204 | 942,909 | (43,705) | 1,349,236 | 1,414,364 | (65,128) |
| K06433 | Reserves | 33 | (1,867) | 1,900 | 57 | (2,801) | 2,858 |
| | Ophthalmic Services | 899,237 | 941,042 | (41,805) | 1,349,293 | 1,411,563 | (62,270) |
| Other Primary Care | | | | | | | |
| K06171 | Primary Care Support Serv | 0 | 10,298 | (10,298) | 0 | 15,447 | (15,447) |
| K06172 | Community Pharm Other | 207,961 | 119,682 | 88,279 | 311,950 | 179,523 | 132,427 |
| K06173 | PMS GMS Improvement Grant | 4 | 3,401 | (3,397) | 0 | 5,102 | (5,102) |
| K06174 | Gms/Pms IT Services | 207,976 | 131,691 | 76,285 | 311,967 | 197,537 | 114,431 |
| K06176 | GP Fees | 16,948 | 50,708 | (33,760) | 25,431 | 76,062 | (50,631) |
| K06178 | Clinical Waste Contract | 0 | 14,460 | (14,460) | 0 | 21,690 | (21,690) |
| K06180 | PRIOR YEAR ACCRUAL | 0 | (187,707) | 187,707 | 0 | (187,707) | 187,707 |
| Total Other Primary Care | | 432,889 | 142,533 | 290,356 | 649,348 | 307,653 | 341,695 |
| Total Primary Care | | 37,488,699 | 36,191,113 | 1,297,586 | 56,254,212 | 54,780,523 | 1,473,689 |

Appendix 2e

Finance Period – 31st November 2012

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NHS KINGSTON
Finance Report – November 2012

Corporate Services

| | | Year To Date | | | Full Year Forecast Outturn | | |
|--|---|------------------|------------------|------------------|----------------------------|------------------|------------------|
| | | Budget | Actual | Variance | Budget | Actual | Variance |
| | | £ | £ | £ | £ | £ | £ |
| K07001 | ACU | 267,792 | 267,792 | 0 | 401,688 | 401,688 | 0 |
| K07006 | Finance Department | 245,857 | 245,840 | 17 | 368,793 | 368,760 | 33 |
| K07041 | Primary Care | 192,968 | 192,968 | 0 | 289,455 | 289,452 | 3 |
| K07031 | Communications | 39,256 | 39,256 | 0 | 58,889 | 58,884 | 5 |
| K07021 | Corporate HR | 65,400 | 65,400 | 0 | 98,104 | 98,100 | 4 |
| K07007 | PCSS | 213,200 | 213,200 | 0 | 319,800 | 319,800 | 0 |
| | SW London Cluster Recharge | 1,024,473 | 1,024,456 | 17 | 1,536,729 | 1,536,684 | 45 |
| | <u>Offices of Chairman and Chief Exec</u> | | | | | | |
| K07011 | Governing Body | 202,664 | 274,692 | (72,028) | 304,000 | 412,038 | (108,038) |
| K07012 | Chief Executive | 74,564 | 126,342 | (51,778) | 111,882 | 189,513 | (77,631) |
| | | 277,228 | 401,034 | (123,806) | 415,882 | 601,551 | (185,669) |
| | <u>Corporate Affairs & Communication</u> | | | | | | |
| K07013 | Corporate Office | 190,533 | 150,052 | 40,481 | 285,843 | 225,078 | 60,765 |
| K07032 | Borough Comms | 86,410 | 86,427 | (17) | 129,640 | 129,641 | (1) |
| | | 276,943 | 236,479 | 40,464 | 415,483 | 354,719 | 60,765 |
| | <u>Performance Directorate</u> | | | | | | |
| K07036 | Performance | 250,685 | 237,040 | 13,645 | 376,079 | 355,560 | 20,519 |
| K07037 | Provider Performance | 110,170 | 109,209 | 961 | 165,270 | 163,814 | 1,457 |
| K07039 | Information Services | 96,163 | 91,850 | 4,313 | 144,275 | 137,775 | 6,500 |
| K07040 | KCI | 204,000 | 204,000 | 0 | 306,000 | 306,000 | 0 |
| K07002 | CSL | 0 | (498) | 498 | 0 | (747) | 747 |
| | | 661,018 | 641,601 | 19,417 | 991,624 | 962,402 | 29,223 |
| | <u>Finance & Project Management Office</u> | | | | | | |
| K07008 | GP Consortia | 208,664 | 208,141 | 523 | 313,000 | 312,212 | 789 |
| K07009 | Borough Finance | 157,481 | 126,866 | 30,615 | 236,228 | 190,299 | 45,929 |
| | | 366,145 | 335,007 | (96,695) | 549,228 | 502,511 | (144,602) |
| | <u>Professional & Clinical Development</u> | | | | | | |
| K07014 | PCD | 123,736 | 126,293 | (2,557) | 185,618 | 189,440 | (3,822) |
| K07124 | Expert Patient Programme | 18,657 | 18,553 | 104 | 28,000 | 27,830 | 171 |
| | | 142,393 | 144,846 | (2,453) | 213,618 | 217,269 | (3,651) |
| | <u>Corporate Business Services</u> | | | | | | |
| K07024 | Operational Services | 109,929 | 153,649 | (43,720) | 164,902 | 230,474 | (65,572) |
| | | 109,929 | 153,649 | (43,720) | 164,902 | 230,474 | (65,572) |
| Total Borough Costs (excluding Estates) | | 1,833,656 | 1,912,616 | (206,793) | 2,750,737 | 2,868,924 | (309,506) |
| <u>Estates Costs</u> | | | | | | | |
| K07022 | Provider Rental Income | (533,329) | (533,333) | 4 | (800,000) | (800,000) | (1) |
| K07023 | Capital Charges | 1,254,928 | 1,256,560 | (1,632) | 1,882,392 | 1,884,840 | (2,448) |
| Total Estates Costs | | 721,599 | 723,227 | (1,628) | 1,082,392 | 1,084,841 | (2,449) |
| Total Corporate | | 3,579,728 | 3,660,299 | (208,404) | 5,369,858 | 5,490,449 | (311,910) |

Appendix 2f

NHS KINGSTON
Finance Report – November 2012

Public Health

| | Year To Date | | | Full Year Forecast Outturn | | | |
|--|----------------------------------|------------------|------------------|----------------------------|------------------|------------------|------------------|
| | Budget | Actual | Variance | Budget | Actual | Variance | |
| | £ | £ | £ | £ | £ | £ | |
| Public Health | | | | | | | |
| K07056 | Public Health | 740,122 | 671,944 | 68,178 | 1,110,225 | 1,007,916 | 102,309 |
| K07057 | KVA | 71,905 | 59,164 | 12,741 | 107,870 | 88,746 | 19,124 |
| K07058 | Quality Assurance | 3,329 | 3,333 | (4) | 5,000 | 5,000 | 1 |
| K07059 | Pharmacy Team | 357,574 | 335,795 | 21,779 | 536,415 | 503,693 | 32,723 |
| Total Public Health | | 1,172,930 | 1,070,236 | 102,694 | 1,759,510 | 1,605,354 | 154,156 |
| Public Health - Project Budgets | | | | | | | |
| K07062 | YP Early Intervention | 36,665 | 36,667 | (2) | 55,000 | 55,001 | (1) |
| K07063 | PH Immunisations | 12,000 | 12,000 | 0 | 18,000 | 18,000 | 0 |
| K07064 | Social Entrepreneur In Residence | 13,999 | 13,889 | 110 | 21,000 | 20,834 | 167 |
| K07065 | Cancer Screening - PH | 13,329 | 13,409 | (80) | 20,000 | 20,114 | (114) |
| K07066 | Weight Management Spec | 34,185 | 34,327 | (142) | 51,282 | 51,491 | (209) |
| K07067 | Family Food Worker | 29,097 | 29,132 | (35) | 43,655 | 43,698 | (43) |
| K07068 | Adult Cook & Eat Prog | 11,994 | 12,030 | (36) | 18,000 | 18,045 | (45) |
| K07069 | NCMP | 3,330 | 3,333 | (3) | 5,000 | 5,000 | 1 |
| K07070 | Early Years Project | 5,994 | 6,000 | (6) | 9,000 | 9,000 | 0 |
| K07071 | Walking&Cycling for Life | 11,208 | 20,000 | (8,792) | 16,815 | 30,000 | (13,185) |
| K07072 | Obesity Training &Publcty | 1,334 | 1,333 | 1 | 2,000 | 2,000 | 1 |
| K07073 | Obesity Communication | 2,664 | 3,094 | (430) | 4,000 | 4,641 | (641) |
| K07074 | Obesity Prog Co-ordinator | 18,641 | 7,052 | 11,589 | 27,967 | 10,578 | 17,389 |
| K07075 | Chefs Club | 5,335 | 5,333 | 2 | 8,000 | 8,000 | 1 |
| K07076 | Childhood WM (5-7) | 19,994 | 17,185 | 2,809 | 30,000 | 25,778 | 4,223 |
| K07077 | Childhood WM (8-11) | (2) | 2,815 | (2,817) | 0 | 4,223 | (4,223) |
| K07078 | Childhood WM (12-14) | 9,994 | 10,000 | (6) | 15,000 | 15,000 | 0 |
| K07079 | BME Cycling | 0 | 0 | 0 | 0 | 0 | 0 |
| K07080 | Sexual Health Promotion | 158,209 | 181,199 | (22,990) | 237,336 | 271,799 | (34,463) |
| K07081 | Condom Card Scheme | (1) | 0 | (1) | 0 | 0 | 0 |
| K07082 | Sexual Health Pub/Non pay | (1) | 0 | (1) | 0 | 0 | 0 |
| K07083 | LARC | (1) | 0 | (1) | 0 | 0 | 0 |
| K07084 | MCN | 60,000 | 60,000 | 0 | 90,000 | 90,000 | 0 |
| K07085 | DYD Researcher | 20,590 | 20,593 | (3) | 30,889 | 30,890 | (1) |
| K07086 | DYD Adviser | 0 | 0 | 0 | 0 | 0 | 0 |
| K07087 | Down Your Drink | 20,591 | 20,593 | (2) | 30,889 | 30,890 | (1) |
| K07088 | DYD Training/Publicity | 2,664 | 2,697 | (33) | 4,000 | 4,046 | (46) |
| K07089 | ECM | 19,995 | 20,000 | (5) | 30,000 | 30,000 | 0 |
| K07090 | Refugee Action Kingston | 39,329 | 39,333 | (4) | 59,000 | 59,000 | 1 |
| K07091 | CDW Alpha Rd | 38,657 | 38,629 | 28 | 57,994 | 57,944 | 51 |
| K07092 | SCDC Marginal GPs | 29,994 | 30,000 | (6) | 45,000 | 45,000 | 0 |
| K07093 | CDW Refuggess | 24,249 | 24,251 | (2) | 36,377 | 36,377 | 1 |
| K07094 | Overheads/Project Costs | 5,849 | 5,853 | (4) | 8,779 | 8,778 | (1) |
| K07095 | SCDC Localities | 30,280 | 30,281 | (1) | 45,421 | 45,422 | (1) |
| K07096 | Admin Support | 6,592 | 6,594 | (2) | 9,891 | 9,891 | 0 |
| K07097 | Interpreters KIS | 19,329 | 19,333 | (4) | 29,000 | 29,000 | 1 |
| K07098 | CDW Mental Health | 0 | 0 | 0 | 0 | 0 | 0 |
| K07099 | Mental Wellbeing | 29,001 | 29,004 | (3) | 43,506 | 43,506 | 0 |
| K07100 | M&P Smoking Cessation | 124,916 | 149,333 | (24,417) | 187,389 | 224,000 | (36,611) |
| K07101 | Older People Lead | 39,994 | 40,000 | (6) | 60,000 | 60,000 | 0 |
| K07102 | Fuel Poverty | 25,329 | 25,333 | (4) | 38,000 | 38,000 | 1 |
| K07103 | Falls Prevention&Podiatry | 15,808 | 32,098 | (16,290) | 23,714 | 48,147 | (24,433) |
| K07104 | Physical Activity Lead | 51,089 | 47,199 | 3,890 | 76,643 | 70,799 | 5,845 |
| K07105 | Get Active Admin | 0 | 0 | 0 | 0 | 0 | 0 |
| K07106 | Exercise Referral Dev | 73,665 | 65,754 | 7,911 | 110,507 | 98,631 | 11,876 |
| K07107 | Physical Activity Non pay | 1,345 | 1,289 | 56 | 2,026 | 1,934 | 93 |
| K07108 | P/A Communications | 816 | 818 | (2) | 1,227 | 1,227 | 0 |
| K07109 | PH Chlamydia - PCT | 81,993 | 83,012 | (1,019) | 123,000 | 124,518 | (1,518) |
| K07110 | PH Chlamydia - M00M00 | (2) | 0 | (2) | 0 | 0 | 0 |
| K07111 | Substance Misuse Project | 46,032 | 49,376 | (3,344) | 69,057 | 74,064 | (5,007) |
| K07112 | Blood Borne Virus | 16,669 | 16,667 | 2 | 25,000 | 25,001 | (1) |
| K07113 | Shared Care Training | 663 | 667 | (4) | 1,000 | 1,001 | (1) |
| K07114 | Substance Misuse LES | 0 | 0 | 0 | 0 | 0 | 0 |
| K07115 | Immunisation Nurse | 12,232 | 143 | 12,089 | 18,353 | 215 | 18,139 |
| K07116 | VC PC GP Practices | 156,659 | 187,089 | (30,430) | 235,000 | 280,634 | (45,634) |
| K07117 | VC in Community | (2) | 0 | (2) | 0 | 0 | 0 |
| K07118 | VC CP Disadvantaged | 0 | 0 | 0 | 0 | 0 | 0 |
| K07119 | VC Equipment | 0 | 80 | (80) | 0 | 120 | (120) |
| K07120 | Diabetes | 669 | 667 | 2 | 1,000 | 1,001 | (1) |
| K07121 | End of Life | 0 | 0 | 0 | 0 | 0 | 0 |
| K07122 | Comm Pharm Incntve Scheme | 0 | 0 | 0 | 0 | 0 | 0 |
| K07127 | Age Concern | 36,670 | 36,667 | 3 | 55,000 | 55,001 | (1) |
| K07128 | Public Health Reserves | (1) | 0 | (1) | 0 | 0 | 0 |
| Public Health Projects | | 1,419,631 | 1,492,151 | (72,520) | 2,129,717 | 2,238,227 | (108,510) |

Appendix 3a

COMBINED STATEMENT OF FINANCIAL POSITION

| | Statement of Financial Position as at 30.11.12 £000's | Statement of Financial Position as at 01.04.12 £000's | Movement from Opening Position £000's |
|--|---|---|--|
| NON CURRENT ASSETS | | | |
| Property, Plant and Equipment | 27,957 | 26,974 | 983 |
| Intangible Assets | 726 | 910 | (184) |
| Other Financial assets | 0 | 0 | 0 |
| Trade and other receivables | 0 | 0 | 0 |
| TOTAL NON CURRENT ASSETS | 28,683 | 27,884 | 799 |
| CURRENT ASSETS | | | |
| Inventories | 0 | 0 | 0 |
| Trade and other receivables | 1,966 | 1,430 | 536 |
| Other current assets | 0 | 0 | 0 |
| Cash in bank & in hand | 514 | 13 | 501 |
| SUB TOTAL CURRENT ASSETS | 2,480 | 1,443 | 1,037 |
| Non-Current assets held for sale | 1,150 | 1,600 | (450) |
| Total Current assets | 3,630 | 3,043 | 587 |
| TOTAL ASSETS | 32,313 | 30,927 | 1,386 |
| CURRENT LIABILITIES | | | |
| Trade and other payables | (31,432) | (21,356) | (10,076) |
| Other Liabilities | 0 | 0 | 0 |
| Provisions | (652) | (632) | (20) |
| Borrow ings | 0 | 0 | 0 |
| Other Financial Liabilities | 0 | 0 | 0 |
| Total current liabilities | (32,084) | (21,988) | (10,096) |
| NET CURRENT ASSETS (LIABILITIES) | (29,604) | (20,545) | (9,059) |
| TOTAL ASSETS LESS CURRENT LIABILITIES | 229 | 8,939 | (8,710) |
| NON CURRENT LIABILITIES | | | |
| Trade and other payables | 0 | 0 | 0 |
| Other Liabilities | 0 | 0 | 0 |
| Provisions | (519) | (519) | 0 |
| Borrow ings | 0 | 0 | 0 |
| Other Financial Liabilities | 0 | 0 | 0 |
| Total non current liabilities | (519) | (519) | 0 |
| TOTAL ASSETS EMPLOYED | (290) | 8,420 | (8,710) |
| TAX PAYERS EQUITY | | | |
| General Fund | (9,632) | (922) | (8,710) |
| Revaluation Reserve | 9,342 | 9,342 | 0 |
| Donated asset Reserve | 0 | 0 | 0 |
| TOTAL EQUITY | (290) | 8,420 | (8,710) |

Appendix 3b

CASH POSITION AS AT 30 NOVEMBER 2012

| | £000 |
|---|----------------|
| Total Revenue Resource Limit | 283,263 |
| Total Capital Resource Limit | 5,308 |
| Total Resource | 288,571 |
| Less | |
| Capital Charges (Non Cash) | 1,896 |
| Revenue c/fwd adj | 6,669 |
| Other cash limit adjs. | |
| Total Cash Limit as at 30 NOVEMBER 2012 for the year | 280,006 |
| Cash Budget as at 30 NOVEMBER 2012 (for the year to date) | 186,671 |
| Cash Actually Drawn as at 30 NOVEMBER 2012 | 177,478 |
| Cash Drawn Variance as at 30 NOVEMBER 2012 | 9,193 |

CASHFLOW AS AT 30 NOVEMBER 2012

| | £000 |
|---|------------------|
| Net Operating Costs | (186,188) |
| Capital Charges | 1,257 |
| Impairments | 0 |
| Profit on disposal of fixed assets | 0 |
| Interest Received | 0 |
| interest element finance leases | 0 |
| (Increase)/decrease in inventories | 0 |
| (Increase)/decrease in receivables | (536) |
| Increase/(decrease) in payables | 10,076 |
| Increase/(decrease) in provisions | 20 |
| Increase/(decrease) in borrowings | 0 |
| Net cash outflow from operating activities | (175,371) |
| Receipt from land sale | 450 |
| Payment to acquire tangible fixed assets | (2,056) |
| Net cash outflow | (176,977) |
| Net parliamentary Funding | 177,478 |
| Increase (decrease) in cash | 501 |

BETTER PAYMENT PRACTICE POLICY
As at 31st November 2012

| | NUMBER | PAID ON | | TOTAL VALUE £'000 | VALUE ON | |
|----------------|--------|---------|--------|----------------------|----------|--------|
| | | TIME | % | | TIME | % |
| NON NHS | 4,710 | 4,238 | 89.98% | 35,958 | 31,886 | 88.68% |
| NHS | 2,261 | 1,534 | 67.85% | 109,363 | 104,807 | 95.83% |

Appendix 4a

NHS KINGSTON
Finance Report – November 2012

QIPP Schedule

| Borough | QIPP Level 1 Main Categories | QIPP Level 2 Categories | Scheme | Lead | New/Expansion/FYE | Net Total ('000s) | Risk Rating | QIPP removed from Budgets | Net Total ('000s) savings after Risk Rating |
|----------|------------------------------|----------------------------|---|---------|-------------------|-------------------|-------------|---------------------------|---|
| Kingston | Productivity | Acute Sector | KPIS | ACU | Expansion | 794 | G | | 794 |
| Kingston | Productivity | Acute Sector | Reduction in RDA tariff | ACU | New | 400 | G | | 400 |
| Kingston | Productivity | Acute Sector | Referral Management | ACU | New | 1,952 | R | | 761 |
| Kingston | Productivity | Acute Sector | Patient Level Challenges (NCAs) | ACU | New | 300 | G | | 300 |
| Kingston | Productivity | Acute Sector | Maternity Day Attendance Costs | ACU | New | 71 | G | | 71 |
| Kingston | Reducing drug spend | Medicines use | Reduction of high cost drug spend in the acute sector | Borough | New | 150 | G | | 150 |
| Kingston | Productivity | Acute Sector | Community Urology | Borough | New | 65 | G | | 65 |
| Kingston | Productivity | Acute Sector | Neurology | Borough | FYE | 61 | G | | 61 |
| Kingston | Integrated Care | Activity Shifts | Extension of existing MSK Service to include rheumatology | Borough | Expansion | 47 | G | | 47 |
| Kingston | Productivity | Acute Sector | Community Gynaecology | Borough | New | 46 | G | | 46 |
| Kingston | Integrated Care | Activity Shifts | Development of Community ENT Service | Borough | New | 57 | A | | 43 |
| Kingston | Integrated Care | Planned Care | Management of patients in care homes - UTI/Falls/Dementia | Borough | New | 500 | G | | 500 |
| Kingston | Integrated Care | End of Life Care | Reduction of deaths in hospital | Borough | New | 201 | G | | 201 |
| Kingston | Productivity | Acute Sector | Specialist Commissioning QIPP | ACU | New | 225 | G | | 225 |
| Kingston | Integrated Care | Long Term Conditions | Continuing Care - Joint commissioning reduction in tariff | Borough | New | 500 | A | | 375 |
| Kingston | Clinical Overheads | Diagnostics | Re-negotiation of new private diagnostics contract | Borough | New | 200 | G | 200 | 200 |
| Kingston | Productivity | Community Support Services | YHC - Service Transformation Programme | Borough | New | 500 | G | 500 | 500 |
| Kingston | Productivity | Mental Health | FYE of Re-provision of long stay capacity | Borough | FYE | 1,177 | G | 1,177 | 1,177 |
| Kingston | Productivity | Mental Health | Recommissioning of Employment Services | Borough | New | 67 | G | 67 | 67 |
| Kingston | Productivity | Mental Health | Review of Day Hospital | Borough | New | 400 | G | 400 | 400 |
| Kingston | Productivity | Mental Health | Budget Review | Borough | New | 400 | G | 400 | 400 |
| Kingston | Productivity | Primary Care Productivity | Reduction in Growth - Primary Care Productivity | Borough | New | 1,748 | G | 1,748 | 1,748 |
| Kingston | Integrated Care | Staying Healthy | Reduction in Growth - Public Health | Borough | New | 200 | G | 200 | 200 |
| Kingston | Integrated Care | Long Term Conditions | Continuing Care - Reduction in Growth | Borough | New | 300 | G | 300 | 300 |
| Kingston | Integrated Care | Long Term Conditions | Budget Review -Funded Nursing Care | Borough | New | 300 | G | 300 | 300 |
| Kingston | Running Costs | Back office | Corporate Efficiency | Borough | New | 450 | G | 450 | 450 |
| Kingston | Productivity | Local Authority | Budget Review | Borough | New | 183 | G | 183 | 183 |
| Kingston | Reducing drug spend | Medicines use | Reduction in Growth - Medicines use | Borough | New | 536 | G | 536 | 536 |
| | | | | | Totals | 11,830 | | 6,461 | 10,500 |